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Inv 2616

PTO/SB/21 (09-04) (AW 10/2004)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission 5+1 Ref. & European O.A.

Application Number	09/869,420
Filing Date	June 27, 2001
First Named Inventor	Yasushi Ayaki
Art Unit	2616
Examiner Name	James A. Fletcher
Attorney Docket No.	MTS-3263US

### ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement (Supplemental)
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
  - ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
  
PTO Form SB/08(a) & SB/08(b)  
1 Reference  
European Office Action  
Return Postcard

Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature	<i>Daniel N. Calder</i>		
Printed Name	Daniel N. Calder		
Date	January 30, 2006	Registration No.	27,424

### CERTIFICATE OF TRANSMISSION / MAILING

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Signature	<i>Deborah Spratt</i>		
Typed or Printed Name	Deborah Spratt	Date	January 30, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/869,420  
Applicant: Yasushi Ayaki  
Filed: June 27, 2001  
Title: DATA RECORDING/REPRODUCING APPARATUS AND PROGRAM  
RECORDING MEDIUM  
TC/A.U.: 2616  
Confirmation: 2673  
Examiner: James A. Fletcher  
Docket No.: MTS-3263US

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to place in the file of the above-identified application the references listed on the PTO/SB/08a and PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and PTO/SB/08b form is enclosed.

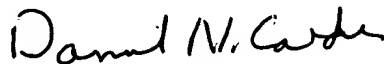
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed after the period provided for in 37 CFR § 1.97(d) but before issuance of a patent.

Accordingly, please place the enclosed references in the application file in accordance with 37 CFR § 1.98(i).

Respectfully submitted,



Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicant

DNC/ds

Enclosures: PTO/SB/08a and PTO/SB/08b  
(1) Reference  
European Office Action  
Transmittal Form

Dated: January 30, 2006

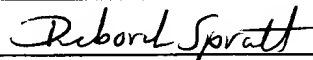
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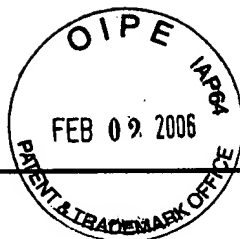
January 30, 2006

Deborah Spratt



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 2

**Complete if Known**

Application Number	09/869,420
Filing Date	June 27, 2001
First Named Inventor	Yasushi Ayaki
Art Unit	2616
Examiner Name	James A. Fletcher
Attorney Docket No.	MTS-3263US

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		European Office Action for Application No. 00 970 051.9 - 2223 dated December 23, 2005	<input type="checkbox"/>
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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